

OIPC Attorney Docket No. 9233-63

#4  
OIPC 0  
10/15/01  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Name: Ekwuribe et al.  
Serial No.: 09/873,797  
Filed: June 4, 2001

Confirmation Number: 2859

For: **MIXTURES OF DRUG-OLIGOMER CONJUGATES COMPRISING POLYALKYLENE GLYCOL, USES THEREOF, AND METHODS OF MAKING SAME**

Date: October 11, 2001

Commissioner for Patents  
Washington, DC 20231

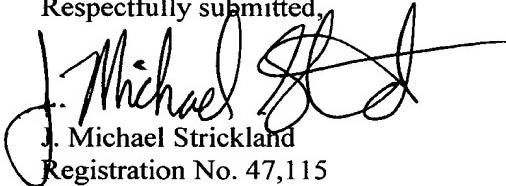
**INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced patent or before the mailing of a first Office Action on the merits, whichever event occurs last. Accordingly no fee is required. The Commissioner is hereby authorized to charge any additional fee, which may be required, or credit any refund, to our Deposit Account No. 50-0220.

Respectfully submitted,



J. Michael Strickland  
Registration No. 47,115

USPTO Customer No.:



20792

PATENT TRADEMARK OFFICE

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on October 11, 2001.

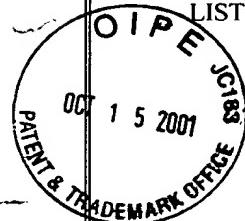


Monica L. Croom

Date of Signature: October 11, 2001

**FORM PTO-1449 U.S. Department of Commerce  
Patent and Trademark Office**
**Attorney Docket Number  
9233-63**
**Serial No.  
09/873,797**
**LIST OF DOCUMENTS CITED BY APPLICANT**

(Use several sheets if necessary)



Applicants: Ekwuribe et al.

Filing Date: June 4, 2000

Group

**U. S. PATENT DOCUMENTS**

| Examiner Initial |    | Document Number | Date     | Name             | Class | Subclass | Filing Date if Appropriate |
|------------------|----|-----------------|----------|------------------|-------|----------|----------------------------|
|                  | 1. | 4,179,337       | 12/18/79 | Davis et al.     | 435   | 181      |                            |
|                  | 2. | 5,359,030       | 10/25/94 | Ekwuribe         | 530   | 303      |                            |
|                  | 3. | 5,405,877       | 04/11/95 | Greenwald et al. | 514   | 772.3    |                            |
|                  | 4. | 5,567,422       | 10/22/96 | Greenwald        | 424   | 78.3     |                            |
|                  | 5. | 5,681,811       | 10/28/97 | Ekwuribe         | 514   | 8        |                            |
|                  |    |                 |          |                  |       |          |                            |
|                  |    |                 |          |                  |       |          |                            |

**FOREIGN PATENT DOCUMENTS**

|  |  | Document Number | Date | Country | Class | Subclass | Translation Yes   No |
|--|--|-----------------|------|---------|-------|----------|----------------------|
|  |  |                 |      |         |       |          |                      |
|  |  |                 |      |         |       |          |                      |
|  |  |                 |      |         |       |          |                      |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|      |   |
|------|---|
| X6.  | Banting et al., "Pancreatic Extracts in the Treatment of Diabetes Mellitus," <i>Can. Med. Assoc. J.</i> , 12: 141-146 (1922).                                   |
| 7.   | H. Allcock & F. Lampe, "Contemporary Polymer Chemistry," 394-403 (2nd. ed., 1991).  |
| 8.   | Y. Chen & G. Baker, "Synthesis and Properties of ABA Amphiphiles," <i>J. Org. Chem.</i> , 64: 6870-6873 (1999).   |
| 9.   | "Pharmaceutical Research: Official Journal of the American Association of Pharmaceutical Scientists," 3(6): 318-326 (1986).                                     |
| X10. | Coudert et al., "A Novel, Unequivocal Synthesis of Polyethylene Glycols," <i>Synthetic Communications</i> , 16(1): 19-26 (1986).                                |
| X11. | J. Milton Harris, "Laboratory Synthesis of Polyethylene Glycol Derivatives," <i>J. Macromol. Science - Rev. Macromol. Chem. Phys.</i> , C25(3): 325-373 (1985). |

**EXAMINER**  
**EXAMINER**
**DATE CONSIDERED**

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if conformance and not considered. Include copy of this form with next communication to applicant.